

DOCKET NO.: H0757.70000US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Huang, et al.

Int'l Application No. :

PCT/CN03/00522

Int'l Filing Date

1 July 2003 (01.07.2003)

Priority Date

2 September 2002 (02.09.2002)

Title

A GROUP OF SYNTHETIC ANTIMICROBIAL PEPTIDES

MAIL STOP PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Serial No.: PCT/CN03/00522

The Applicant hereby makes the following additional information of record in the above-identified application.

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- The above-identified U.S. application claims priority to application Serial No.
 PCT/CN03/00522. If the Examiner has not had the benefit of review of the file history of PCT/ CN03/00522, then he/she is asked to contact the undersigned, who will provide a copy of same.
- 2. The Applicant would like to bring to the Examiner's attention the following copending applications that may contain subject matter related to this application:

Serial No. Filing Date Inventor(s)

3. The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

Serial No.: PCT/CN03/00522

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By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Huang et al., Applicant

By:

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Docket No.: H0757.70000US00

Date: March 2, 2005

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| EODM PTO 1440/A and D (Madified) | | | | APPLICATION NO.: PCT/CN03/00522 | ATTY. DOCKET NO.: H0757.70000US00 | | |
|---|---|----|---|---------------------------------|-----------------------------------|--|--|
| FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | • | FILING DATE: 1 July 2003 | CONFIRMATION NO. 526267 | | |
| | | | | APPLICANT: Huang et al. | | | |
| | | | | GROUP ART UNIT: | EXAMINER: | | |
| Sheet | 1 | of | 1 | GROUP ART UNIT. | EAAWIINEK. | | |

U.S. PATENT DOCUMENTS

| Examiner's | Cite | U.S. Patent Docum | ent | Name of Patentee or Applicant of Cited of | Date of Publication or of issue | |
|------------|------|-------------------|--------------|---|---------------------------------|--|
| Initials | No. | Number | Kind Code | | of Cited Document MM-DD-YYYY | |
| | Al | 6,071,879 | A | Pereira; Heloise Anne | 06-06-2000 | |
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FOREIGN PATENT DOCUMENTS

| Examiner's | Cite No Office/ No Kind Document | Foreign Patent Document | | | Name of Patentee or Applicant of Cited | Date of Publication of | Translation |
|------------|----------------------------------|-----------------------------|----------|-------|--|---------------------------|-------------|
| Initials | | Document (not necessary) | | (Y/N) | | | |
| | B1 | wo | 95/03325 | A1 | University of California, Los Angeles | 02-02-1995 | |
| | B2 | wo | 00/09553 | A2 | Bionebraska, Inc. | 02-24-2000 | |
| | | | | | | | |

OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | | Translation (Y/N) | |
|------------------------|--------------|---|---|----------------------|--|
| | C1 | Broth, W.B. et al., Effects of Synthetic Cecropin Analogs on in Vitro Growth of Acholeplasma laidlawii. Antimicrobial Agents and Chemotherapy 45(6): 1894-1895, 2001. | | | |
| | C2 | International Search Report from PCT/CN03/00522, mailed November 13, 2003. | Y | | |
| , | C3 | Nakajima, Y. et al., Interaction between Liposomes and Sarcotoxin IA, a Potent Antibacterial Protein of Sarcophaga peregrina (Flesh Fly). The Journal of Biological Chemsitry 262(4): 1665-1669, 1987. | | | |
| | C4 | Zasloff, M. Antimicrobial peptides of multicellular organisms. Nature 415: 389-395, 2002. | | | |
| | | | | | |

| EXAMINER: | DATE CONSIDERED: |
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. ___, filed ___, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]